

ADDENDUM NO. 5

TO

REQUEST FOR PROPOSALS

FOR

PROGRESSIVE DESIGN-BUILD (PDB) SERVICES for
MOCHO PFAS TREATMENT PLANT

November 21, 2025

Zone 7 Water Agency's Request for Proposal (RFP) No. 2025-25 for the Progressive Design-Build Services for the Mocho PFAS Treatment Plant is modified as set forth in this Addendum. Respondents shall take this Addendum into consideration when preparing and submitting its Proposal. There are no other modifications to the RFP other than expressly contained in Addenda 1, 2, 3, and 4, and this Addendum 5. It is the responsibility of potential respondents to ensure receipt of any and all addenda.

REQUEST FOR PROPOSALS MODIFICATIONS AND CLARIFICATIONS

RFP

Section 2.4 Project Schedule

1. *Replace* Proposals Due "1:00 p.m., December 22, 2025" with "1:00 p.m., December 29, 2025".

Section 2.5 Disadvantaged Business Enterprise Requirements

1. *Replace* Section 2.5 in its entirety with the following:

"This procurement is being conducted in anticipation of receiving financial assistance through the California State Water Resources Control Board's Drinking Water State Revolving Fund (DWSRF) Emerging Contaminants program, which includes federal funding subject to the U.S. EPA's Disadvantaged Business Enterprises (DBE) requirements (40 CFR Part 33 and 2 CFR 200.321). The selected PDB Entity shall make, document, and provide evidence of Good Faith Efforts (GFE) to solicit and utilize DBE firms for professional services, construction, and supplies, consistent with both DWSRF Disadvantaged Business Enterprise Guidelines (https://www.waterboards.ca.gov/water_issues/programs/grants_loans/srf/docs/policy0513/dbe_compliance_guidelines_instructions.pdf) and EPA's Six Good Faith Efforts. The GFE requirements shall be performed prior to the proposal deadline, and applies through project closeout. PDB Entity shall submit proof of GFE outreach as part of their proposal submission. While certain DBE reporting requirements are currently suspended under U.S. EPA memorandum RAIN-2025-G02 (March 17, 2025), recipients and PDB Entity remain responsible for compliance with all remaining applicable DBE obligations.

Note: These provisions are based on current terms that apply with respect to federally and state funded projects and may require amendment to be consistent with the terms of any final funding agreement(s) for the Project and remain subject to further review.

Pursuant to 40 CFR 33.301, a recipient and prime contractors are required to make good faith efforts whenever procuring construction, equipment, services and

supplies under an EPA financial assistance agreement. Good faith efforts are required to ensure that all DBEs have the opportunity to compete for procurements funded by EPA financial assistance, including projects that receive funding from the Clean Water State Revolving Fund (CWSRF) and Drinking Water State Revolving Fund (DWSRF) Programs.

PDB Entity is responsible for ensuring and demonstrating compliance with CWSRF, DWSRF, U.S. EPA, and federal funding DBE requirements from Pre-Construction Phase through project closeout, including the following GFE requirements:

1. Ensure DBEs are made aware of contracting opportunities to the fullest extent practicable through outreach and recruitment activities. For Indian Tribal, State and Local Government recipients, this will include placing DBEs on solicitation lists and soliciting them whenever they are potential sources.
2. Make information on forthcoming opportunities available to DBEs and arrange time frames for contracts and establish delivery schedules, where the requirements permit, in a way that encourages and facilitates participation by DBEs in the competitive process. This includes advertising the RFP by posting in a newspaper or on an established e-Procurement website such as Planet Bids (www.planetbids.com), DBE Good Faith (www.dbegoodfaith.com), or an equivalent website, for a minimum of 30 calendar days.

PDB Entity must document that the RFP was appropriately advertised. For newspapers, a clipping or copy of the advertisement as well as an affidavit or receipt from the newspaper showing the date(s) the advertisement ran are sufficient. For advertisements posted on an e-Procurement website, PDB Entity to document an email confirmation or other receipt showing that the Proposal was posted as well as a saved screenshot or PDF showing the advertisement. If available, an active weblink/URL to the advertisement should also be documented. Proof of GFE outreach shall be submitted with the proposal.

PDB Entity to ensure that GFE outreach was performed prior to the proposal opening date.

The following situations and circumstances require action as indicated:

1. If the apparent successful PDB Entity was rejected, a complete explanation should be documented.
2. Failure of the apparent successful PDB Entity to perform the GFEs prior to bid opening constitutes a non-responsive proposal. The PDB contract may then be awarded to the next highest scoring, qualified, responsive, and responsible PDB Entity that meets the requirements or the Owner may re-advertise the project.

3. Consider in the contracting process whether firms competing for large contracts

could subcontract with DBEs. For Indian Tribal, State and Local Government recipients, this will include dividing the total requirements when economically feasible into smaller tasks or quantities to permit maximum participation by DBEs in the competitive process.

4. Encourage contracting with a consortium of DBEs when a contract is too large for one of these firms to handle individually.
5. Use the services and assistance of the Small Business Administration and the Minority Business Development Agency of the Department of Commerce.
6. If the prime contractor awards subcontracts, require the prime contractor to take the steps in paragraphs (1) through (5) of this section."

Section 4.5 Procurement Schedule

1. *Replace* Table 2 Tentative Procurement Schedule with the following:

Activity	Date
RFP Issuance	September 29, 2025
Pre-Proposal Meeting and Site Visit	October 23, 2025
Confidential One-on-One Meetings	October 27 and 30, 2025
RFP Questions Due	1:00 p.m., December 8, 2025
Proposal Submittal Deadline	1:00 p.m., December 29, 2025
Proposer Ranking and Short-List	January 21, 2025
Short-List Interviews	January 26-27, 2026
Selection Announcement	January 30, 2026
Preconstruction Services Contract Award/Notice To Proceed 1	April 20, 2026

Section 4.6, Pre-Proposal Meeting and Site Tour

1. *Replace* "Attendance at this meeting is mandatory" with "Attendance at this meeting is optional".

Form 10 – DBE Forms

1. Replace section in its entirety with the following (continued on next page):

FORM 10 – DBE FORMS

DBE CERTIFICATION

The Proposer certifies that (1) Proposer made good faith efforts as required by 40 CFR § 33, CWSRF, DWSRF, and U.S. EPA requirements to ensure that: DBEs had the opportunity to compete for construction, equipment, services and supplies; (2) Proposer will make good faith efforts as required by 40 CFR § 33 to ensure that DBEs have the opportunity to compete for and perform on the Project; and (3) Proposer has completed the following DBE Certification Forms.

Date: _____, 2025

Proposer: _____

Signature: _____

Title: _____

2. Insert Attachment "DBE Certification Forms".

List of Attachments:

1. DBE Certification Forms

END OF DOCUMENT

DBE CERTIFICATION FORMS

Drinking Water State Revolving Fund (DWSRF) Program Disadvantaged Business Enterprise (DBE)

DBE REQUIREMENTS

The DBE Program is an outreach, education, and objectives program designed to increase the participation of DBEs.

How to Achieve the Purpose of the Program

Financial Assistance Recipients should award a "fair share" of sub-agreements to Disadvantaged Business Enterprises (DBE). This applies to all sub-agreements for equipment, supplies, construction, and services.

Recipients of financial agreements are required to seek, and are encouraged to utilize, DBE businesses for their procurement needs under the financial agreement. The key functional components of the DBE Program are as follows.

- Fair Share Objectives
- Six Good Faith Efforts
- Contract Administration Requirements
- DBE Reporting
- DBE Certification

Certifying DBE Firms

Under the DBE Program, entities can no longer self-certify. Certifications will be accepted from:

- The Small Business Administration(SBA);
- The Department of Transportation's State implemented DBE Certification Program (with U.S. citizenship);
- Tribal, State and Local governments;
- Independent private organization certifications.

If an entity holds one of these certifications, it is considered acceptable for establishing status under the DBE Program.

Good Faith Efforts (GFE)

The GFE is required for all Recipients to ensure that DBEs have the opportunity to compete for financial assistance dollars.

Six Good Faith Efforts

1. Ensure DBEs are made aware of contracting opportunities to the fullest extent practical through outreach and recruitment activities. For Tribal, State and Local Government Recipients, this will include placing DBEs on solicitation lists and soliciting them whenever they are potential sources.
2. Make information on forthcoming opportunities available to DBEs. Posting solicitations for bids or proposals for a minimum of 30 calendar days before the bid opening date.
3. Consider in the contracting process whether firms competing for large contracts could subcontract with DBEs.
4. Encourage contracting with a group of DBEs when a contract is too large for one firm to handle individually.
5. Use the services and assistance of the SBA and Minority Business Development Agency (MBDA) of the Department of Commerce.
6. If the prime contractor awards subcontracts, require the prime contractor to take the above steps.

The following forms (attached) are required to be submitted with the GFE:
its bid being

FORM	REQUIREMENT	PROVIDED BY	COMPLETED BY	SUBMITTED TO
DBE Contractor/Subcontractor Certification	Subcontractor required to provide proof of DBE certification	Recipient	Subcontractor	SWRCB by Recipient
DBE Selected Prime Contractor/Recipient	Prime Contractor list selected DBEs	Recipient	Prime Contractor	SWRCB by Recipient

The GFE should be submitted with the Proposal. Recipient shall review the successful bidder's documents closely to determine that the GFE was performed. Documentation should indicate that the GFE occurred **prior** to proposal opening. Failure of either the bidder or prime contractor/subcontractor to follow the GFE and provide the necessary information could jeopardize funding of the project. The following situations and circumstances require action as indicated:

1. If the apparent successful PDB Entity was rejected, a complete explanation must be provided;
2. PDB Entity must provide proof of DBE certification. Self-certification is not acceptable. Contractors must be certified at proposal submission deadline; and
3. Failure of the apparent successful PDB Entity to **perform the** GFE **prior** to proposal opening will result in its proposal declared non-responsive. The PDB contract may then be awarded to the next highest qualified, responsive, and responsible PDB Entity that meets the requirements or the Recipient may re-advertise the project.
4. If there is a bid dispute, all disputes shall be settled **prior** to submission of the AOA package.

Each procurement contract signed by the Recipient must include the following term and condition:

"The contractor shall not discriminate on the basis of race, color, national origin or sex in their performance of this contract. The contractor shall carry out applicable requirements of 40 CFR Part 33 in the award and administration of contracts awarded under EPA financial assistance agreements. Failure by the contractor to carry out these requirements is a material breach of this contract which may result in the termination of this contract."

Administration Requirements

- A Recipient must require its prime contractor to pay its subcontractor for satisfactory performance no more than 30 days from the prime contractor's receipt of payment from the Recipient;
- A Recipient must be notified in writing by its prime contractor prior to any termination of a DBE subcontractor by the prime contractor;
- If a DBE subcontractor fails to complete work under the subcontract for any reason, the Recipient must require the prime contractor to employ the six GFEs if soliciting a replacement subcontractor;
- A Recipient must require its prime contractor to employ the six GFEs even if the prime contractor has achieved its fair share objectives; and
- The GFE documentation shall be submitted by the Recipient with the Final Budget Approval Form.

Bidder's List Requirements

- A Recipient of a financial agreement to capitalize DWSRF funds also must require entities receiving funds to create and maintain a Bidders List if the Recipient of the financing agreement is subject to, or chooses to follow, competitive bidding requirements;
- The Bidders list must include all firms that bid or quote on prime contracts, or bid or quote on subcontracts, including both MBE/WBEs and non-MBE/WBEs;
- The Bidders List must be kept until the Recipient is no longer receiving funding under the agreement; and
- The Recipient shall include Bidders List as part of the Final Budget Approval Form.

Information Retained on the Bidders List:

- Entity's name with point of contact;
- Entity's mailing address and telephone number;
- The project description on which the entity bid or quoted and when;
- Amount of bid/quote; and
- Entity's status as a MBE/WBE or non-MBE/WBE.

DISADVANTAGED BUSINESS ENTERPRISE (DBE)
CONTRACTOR SUBCONTRACTOR CERTIFICATION

Firm Name:	Phone:
Address:	
Principal Service or Product:	Bid Amount \$

PLEASE INDICATE PERCENTAGE OF OWNERSHIP BELOW

☐ DBE _____ % Ownership	
☐ Prime Contractor	☐ Supplier of Material/Service
☐ Subcontractor	
☐ Sole Ownership	☐ Corporation
☐ Partnership	☐ Joint Venture
Certified by:	Title:

Name:	Date:
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Contractors cannot self-certify. They must be certified by EPA, Small Business Administration (SBA), Department of Transportation (DOT) or by State, Local, Tribal or private entities whose certification criteria match EPA's. Proof of Certification must be provided. A copy of the contractor certification must be submitted with this form.

NOTE: This form shall be completed prior to Proposal deadline.

DISADVANTAGED BUSINESS ENTERPRISE (DBE)
SELECTED PRIME CONTRACTOR AND SUBCONTRACTOR(S)

CONTRACT RECIPIENTS NAME		CONTRACT NO. OR SPECIFICATION NO.	
PROJECT DESCRIPTION		PROJECT LOCATION	
PRIME CONTRACTOR INFORMATION			
NAME AND ADDRESS (Include ZIP Code, Federal Employer Tax ID #)		☐ MBE ☐ WBE	
		AMOUNT OF CONTRACT\$	
PHONE			
DBE INFORMATION			
☐ NONE*			
☐ DBE		NAME AND ADDRESS (Include ZIP Code,)	
☐ SUBCONTRACTOR ☐ JOINT VENTURE	☐ SUPPLIER/SERVICE		
AMOUNT OF CONTRACT \$			
		PHONE	
WORK TO BE PERFORMED			
☐ DBE		NAME AND ADDRESS (Include ZIP Code)	
☐ SUBCONTRACTOR ☐ JOINT VENTURE	☐ SUPPLIER/SERVICE		
AMOUNT OF CONTRACT \$			
		PHONE	
WORK TO BE PERFORMED			
☐ DBE		NAME AND ADDRESS (Include ZIP Code,)	
☐ SUBCONTRACTOR ☐ JOINT VENTURE	☐ SUPPLIER/SERVICE		
AMOUNT OF CONTRACT \$			
		PHONE	
WORK TO BE PERFORMED			
TOTAL DBE AMOUNT: \$		TOTAL WBE AMOUNT: \$	
SIGNATURE OF PERSON COMPLETING FORM:			
TITLE: _____		PHONE: _____	DATE: _____

NOTE: Negative reports are required. This form shall be completed prior to Proposal deadline.

**DISADVANTAGED BUSINESS ENTERPRISE (DBE)
GOOD FAITH EFFORT CERTIFICATION BY RECIPIENT**

1. The apparent successful PDB Entity on Clean Water State Revolving Fund Program funded project number C-06-_____ is _____

(Name of Bidder)

2. Before the State Water Resources Control Board can consider requests for a Final Budget Approval to any bidder, the Recipient must certify to the following:

DISADVANTAGED BUSINESS ENTERPRISE (DBE)

The PDB Entity has obtained _____% of DBE participation for this contract.

Also submitted are the Contractor/Subcontractor Certification and Selected Prime Contractor/Recipient DBE forms that contain a complete list of those DBE firms subcontracted with or with whom other types of agreements were made. The list includes the names of the firm, address, phone number and dollar amount involved.

The following affirmative steps have been taken:

1. The contractor divided total requirements when economically feasible, into small tasks or quantities to permit maximum participation of DBE businesses.
2. The contractor established delivery schedules, where the requirements of the work permitted, which encouraged participation by DBE businesses.
3. The contractor included qualified DBE businesses on solicitation lists.
4. The contractor assures that DBE businesses were solicited, whenever they were potential sources.
5. The contractor used the services and assistance of the Small Business Administration, the Office of Minority Business Development Agency of the U.S. Department of Commerce, Department of General Services, or the Department of Transportation.

It must be understood that the Recipient in its role as a public trustee assumes primary responsibility to achieve an acceptable level of DBE utilization. This primary responsibility is a basic condition of the award of any Drinking Water State Revolving Fund financial agreement. Where a Recipient fails to meet its obligations under these requirements the Recipient may be declared non-responsive and may have funding either annulled, suspended or terminated.

In accepting these responsibilities, I hereby certify to the above.

Name of Recipient

Signature of Authorized Representative

Date

Name and Title of Authorized Representative

**DISADVANTAGED BUSINESS ENTERPRISE (DBE) UTILIZATION
DRINKING WATER STATE REVOLVING FUND FINANCING AGREEMENT**

1. Grant/Finance Agreement Number: C-06-		2. Semi-Annual Reporting Period: 04/01/ through 09/30/ 10/01/ through 03/31/		3. Purchase Period of Financing Agreement: Start Date: _____ Ending Date: _____	
4. Total Payments Paid to Prime Contractor or Sub-Contractors This Period: \$					
5. Recipient's Name and Address: 				6. Recipient's Contact Person and Phone Number: 	
7. List All DBE Payments Paid by Prime Contractor and/or Recipient During Reporting Period:					
Payment or Purchase Paid by Recipient or Contractor?	Amount Paid to Any DBE Contractor/Sub-Contractor For Service Provided to Recipient MBE - WBE		Date of Payment (M/D/Y)	Product Type Code ** (see below)	Name and Address of DBE Contractor or Sub-contractor or Vendor
8. Initial here if no DBE Contractors/Sub-Contractors paid during this reporting period. ()					
9. Initial here if all purchases for this contract are completed. ()					
10. Comments:					
11. Signature and Title of Recipient's Authorized Representative				12. Date:	

****Product Type:**

- 1. Construction
- 2. Supplies
- 3. Services (Includes Business Services, Professional Services, Repair Services, and Personnel Services)
- 4. Equipment

**STATE WATER RESOURCES CONTROL BOARD - DIVISION OF FINANCIAL ASSISTANCE
DISADVANTAGED BUSINESS ENTERPRISE (DBE) UTILIZATION
DRINKING WATER STATE REVOLVING FUND FINANCING AGREEMENT
INSTRUCTIONS FOR COMPLETING THE FORM UR-334**

- Box 1** Grant or Financing Agreement Number.
- Box 2** Semi-annual reporting period. Choose one semi-annual period and enter the correct years.
- Box 3** Enter the dates between which you made procurements under this financing agreement or grant.
- Box 4** Enter the total amount of payments paid to the contractor or sub-contractors during this reporting period.
- Box 5** Enter Recipient's Name and Address.
- Box 6** Enter Recipient's Contact Name and Phone Number.
- Box 7** Enter details for the **DBE purchases only** and be sure to limit them to the current period. 1) Use either an "R" or a "C" to represent "Recipient" or "Contractor." 2) Enter a dollar total for DBE and total the two columns at the bottom of the section. 3) Provide the payment date. 4) Enter a product type choice from those at the bottom of the page. 5) List the vendor name and address in the right-hand column
- Box 8** Initial here if no DBE contractors or sub-contractors were paid during this reporting period.
- Box 9** Initial this box only if all purchases under this financing agreement or grant have been completed during this reporting period or a previous period. If you initial this box, we will no longer send you a survey.
- Box 10** This box is for explanatory information or questions.
- Box 11** Provide an authorized representative signature.
- Box 12** Enter the date form completed.